## **HOMEWORK 4**

Due date: Monday of Week 5

Exercises: 2, 3, 4, pages 261-262, Hoffman-Kunze,
Exercises: 1, 2, 3, page 269.
Exercises: 5, 7, 8, 9, 12, 13, 14, 16, 17. pages 276-277.

**Problem 1.** Consider the matrix

$$A = \begin{bmatrix} -1 & -1 & -1 \\ 2 & 3 & 1 \\ 2 & 0 & 2 \end{bmatrix} \in \operatorname{Mat}_{3 \times 3}(\mathbb{Q}).$$

Find the Smith normal form of  $xI_3 - A$ , the invariant factors of A and the rational canonical form of A. Determine if A has a Jordan canonical form. If so, find its Jordan canonical form.

**Problem 2.** Consider the matrix

$$A = \begin{bmatrix} x-1 & & \\ & (x-1)^2 & \\ & & x-2 \end{bmatrix} \in \operatorname{Mat}_{3\times 3}(\mathbb{Q}[x]).$$

Find the Smith normal form of A.

**Problem 3.** Let F be a general field and  $A \in Mat_{n \times n}(F)$ . Show that A is similar to  $A^t$ .

**Problem 4.** Let  $\alpha = \sqrt[3]{2}$ . Let  $F = \{a + b\alpha + c\alpha^2 | a, b, c \in \mathbb{Q}\}$ . We view F as a dimension 3 vector space over  $\mathbb{Q}$ . Let  $\mathcal{B} = [1, \alpha, \alpha^2]$ , which is an ordered basis of F over  $\mathbb{Q}$ . Given an element  $x \in F$ , we consider the linear operator  $T_x : F \to F$  defined by  $T_x(y) = xy$ . Compute the matrix  $A_x = [T_x]_{\mathcal{B}} \in \operatorname{Mat}_{3\times 3}(\mathbb{Q})$  for  $x = a + b\alpha + c\alpha^2$ . Show that  $T_x$  is a semi-simple operator when F is viewed as vector space over  $\mathbb{Q}$ .

**Problem 5.** Let  $A \in \operatorname{Mat}_{n \times n}(\mathbb{Q})$  be a matrix such that  $A^3 - 2I_n = 0$ , where  $I_n \in \operatorname{Mat}_{n \times n}(\mathbb{Q})$  is the identity matrix. Show that 3|n (3 divides n). Write n = 3k for a positive integer k. Show that A is similar the matrix

$$\begin{bmatrix} & 2I_k \\ I_k & \\ & I_k \end{bmatrix}$$

**Problem 6.** Consider the matrix

$$A = \begin{bmatrix} 0 & 2 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 \\ 0 & 0 & 1 & 0 \end{bmatrix} \in \operatorname{Mat}_{4 \times 4}(\mathbb{Q}).$$

Find a semisimple matrix  $S \in Mat_{4\times 4}(\mathbb{Q})$  and a nilpotent matrix  $N \in Mat_{4\times 4}(\mathbb{Q})$  such that A = S + N and SN = NS.

Hint: you can repeat the proof of Theorem 13, page 267, in this special case. It is useful to notice that the characteristic polynomial  $\chi_A$  of A is  $(x^2 - 2)^2$ . Moreover,  $x^2 - 2$  is irreducible over  $\mathbb{Q}$ . Here a matrix S is called semi-simple if the linear operator defined by S is semi-simple, or equivalently, if the minimal polynomial  $\mu_S$  of S is a product of irreducible polynomials of multiplicity one.

**Problem 7.** Let  $V = \operatorname{Mat}_{n \times n}(\mathbb{C})$ . Define a map  $(|): V \times V \to \mathbb{C}$  by

$$(A|B) = \operatorname{tr}(AB^*).$$

Check that (|) is an inner product on V.

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Let  $T: V \to V$  be a linear operator. Assume that  $\mu_T = q_1^{r_1} \dots q_k^{r_k}$  with  $q_i$  irreducible and pair wise distinct. To find a basis  $\mathcal{B}$  such that  $[T]_{\mathcal{B}}$  is simpler, we usually do primary decomposition first and then apply cyclic decomposition to each component. More precisely, we have the primary decomposition

$$V = W_1 \oplus \cdots \oplus W_k,$$

with  $W_i = \ker(q_i(T)^{r_i})$ . Let  $T_i: W_i \to W_i$  be the restriction of T to  $W_i$ . Then we have  $\mu_{T_i} = q_i^{r_i}$ . To find a simpler form of  $T_i$ , we need to find the invariant factors of  $T_i$ . To do so, we need to do the primary decomposition first, find the matrix  $A_i = [T]_{\mathcal{B}_i}$  of  $T_i$  explicitly and then find the Smith norm form of  $xI - A_i$ . This seems very complicate. Now a question is: is it possible to read the invariant factors of  $T_i$  directly from the invariant factors of T? The answer is yes. Suppose that  $p_1, \ldots, p_m$  are invariant factors of T. Then we have  $p_1 = \mu_T = q_1^{r_1} \ldots q_k^{r_k}$ . Since  $p_i | p_1$  for  $i \ge 2$ , we can assume that

$$p_1 = q_1^{s_{11}} q_2^{s_{12}} \dots q_k^{s_{1k}},$$
  

$$p_2 = q_1^{s_{21}} q_2^{s_{22}} \dots q_k^{s_{2k}},$$
  

$$\dots$$
  

$$p_m = q_1^{s_{m1}} q_2^{s_{m2}} \dots q_k^{s_{mk}},$$

where  $s_{ij}$  are non-negative integers with  $s_{ij} \ge s_{i+1,j}$ , and  $s_{1j} = r_j$ .

**Problem 8.** (1) Given  $f, g \in F[x]$  and gcd(f, g) = 1. Show that

$$F[x]/(fg) \cong F[x]/(f) \times F[x]/(g).$$

(2) Using the above and the uniqueness of cyclic decompositions, show that the invariant factors of  $T_j$  are

$$q_j^{s_{1j}}, q_j^{s_{2j}}, \dots, q_j^{s_{mj}}$$

It is possible that many  $s_{ij}$  in the above sequence are zero and thus the corresponding term can be disregarded.

Hint for (2): Recall that the cyclic space  $Z(\alpha; T)$  can be identified with  $F[x]/(p_{\alpha})$ , where  $p_{\alpha}$  is the annihilator of  $\alpha$ . Use part (1) and the uniqueness of cyclic decompositions.

**Problem 9.** Let  $T: V \to V$  be a linear operator such that its invariant factors are given by

$$(x-2)^4(x+1), (x-2)^2(x+1), (x-2)$$

Find the corresponding invariant factors of  $T_1$  and  $T_2$ , where  $W_1 = \ker(T - 2I)^4$ ,  $W_2 = \ker(T + I)$ and  $T_i : W_i \to W_i$  is the corresponding linear operator defined by  $T_i$ . Moreover find the Jordan canonical form of T.