HOMEWORK 3

Due date: Week 4

Exercises: 2.1, 2.2, 2.3, 2.4, 2.5, 2.6, 2.7, 2.9, 2.10, 3.1, 3.2, 3.4, 3.6, pages 379-380 of Artin's book.

Hint for Exercise 2.10: See Ex.2.2 and 3.10, pages 354-355. Exercise 3.4 is probably not so easy. You can use the fact that $\mathbb{C}[x, y, z, w]$ is a UFD and thus one can define gcd there. These facts are proved in the following problems. See this link for a proof of Exercise 3.4.

Problem 1. *Let R be an integral domain and let $p \in R$ be a prime element. Show that p is irreducible.*

(Recall that: p is prime means that p is not a unit and if $p|ab$, then $p|a$ or $p|b$; p is irreducible means that p is not a unit and it cannot be factorized further, namely, if $p = ab$ for $a, b \in R$, then one of a, b is a unit.)

Let R be an integral domain and let F be its fractional field. An element $\alpha \in F$ is called integral over R if there exists a monic polynomial $f \in R[x]$ such that $f(\alpha) = 0$. The ring R is called **integrally closed** if for any $\alpha \in F$ integral over R, we have $\alpha \in R$.

Problem 2. (1) *Show that $\mathbb{Z}$ is integrally closed.*

(2) *Let $R = \mathbb{Z}[\sqrt{-3}] = \left\{a + b\sqrt{-3} : a, b \in \mathbb{Z}\right\}$. Its fractional field is*

$$F = \mathbb{Q}(\sqrt{-3}) = \left\{a + b\sqrt{-3} : a, b \in \mathbb{Q}\right\}.$$

Show that $\omega := \frac{-1+\sqrt{-3}}{2} \in F$ is integral over R but not in R. Thus R is not integrally closed.

Problem 3. (1) *Let R be a UFD, show that R is integrally closed. Conclude that the ring $\mathbb{Z}[\sqrt{-3}]$ is not a UFD. Find an irreducible element in $\mathbb{Z}[\sqrt{-3}]$ such that it is not prime.*

(2) *Let $\omega := \frac{-1+\sqrt{-3}}{2}$. Show that the ring $R = \mathbb{Z}[\omega] = \{a + b\omega : a, b \in \mathbb{Z}\}$ is a Euclidean domain and thus it is a UFD.*

Hint for part (2): The proof is similar to the case that $\mathbb{Z}[i]$ is a Euclidean domain. This is Exercise 2.6 (a).

Let R be a ring. Given two elements $a, b \in R$. An element $d \in R$ is called a greatest common divisor (gcd) of a and b if it satisfies the following two conditions:

(1) $d|a, d|b$;
(2) if $x \in R$ is an element such that $x|a, x|b$, then $x|d$.

If such a d exists, and $u \in R^\times$, then ud also satisfies the above conditions. Conversely, if d, d' both satisfy the above gcd conditions, then there exists a unit $u \in R^\times$ such that $d' = ud$. To avoid such ambiguity, we use $gcd(a, b)$ to denote the principal ideal (d) if d satisfies the above condition and call this principal ideal the greatest common divisor of a and b.

Note that $gcd(a, b)$ in general is not the ideal (a, b) (which always means the ideal generated by a and b, namely $(a, b) = \{ax + by : x, y \in R\}$). For example, in the ring $\mathbb{C}[x, y]$, we have $gcd(x, y) = 1$, but $(x, y) \neq (1)$. Actually, $\mathbb{C}[x, y]/(x, y) \cong \mathbb{C}$.

An integral domain R is called a **GCD domain** if for any $a, b \in R$, $gcd(a, b)$ exists.

Problem 4. *Let R be a GCD domain.*

(1) *Suppose $gcd(x, y)$ exists. Show that $(x, y) \subset gcd(x, y)$. In particular, if $(x, y) = 1$, then $gcd(x, y) = 1$. Note that the converse is not true by the above example.*

(2) *Let $a_1, \dots, a_n \in R$. Show that there exists an element $d \in R$ such that (a) $d|a_i, \forall i$, and (b) if $x \in R$ such that $x|a_i, \forall i$, then $x|d$. This d is called the gcd of $a_1, \dots, a_n$ and we denote it (or the principal generated by it) by $gcd(a_1, \dots, a_n)$.*
(3) *Show that $gcd(gcd(a,b),c) = gcd(a, gcd(b,c)), \forall a, b, c \in R$.*
(4) *Suppose that $gcd(a,b) = 1$ for $a, b \in R$. Show that $gcd(a^n, b) = 1$ for any $n \geq 1$.*
(5) *Show that $gcd(ax, ay) = a \cdot gcd(x, y)$*

In class, we showed that a PID is a GCD domain.

Problem 5. (1) *Show that a UFD is a GCD domain.*
(2) *Show that a GCD domain is integrally closed.*

Hint for (1): this gcd is what you learned from elementary school. (2), this proof is similar to Problem 3. You might have to use $gcd(a^n, b) = gcd(a, b)$ for $a, b \in R$, a GCD domain.

Proof. (2) Let R be a GCD domain and F be its fractional field. Suppose that $\alpha \in F$ is integral over R. We need to show that $\alpha \in R$. Write $\alpha = a/b$ with $a, b \in R, b \neq 0$. We can assume that $gcd(a, b) = 1$. Since α is integral over R, there exists a monic polynomial $f \in R[x]$ such that $f(\alpha) = 0$. Suppose that $f = x^n + c_{n-1}x^{n-1} + \cdots + c_0$. We have

$$\alpha^n + c_{n-1}\alpha^{n-1} + \cdots + c_0 = 0.$$

Multiply both sides by b^n, we get

$$a^n + c_{n-1}a^{n-1}b + \cdots + c_0 b^n = 0.$$

Thus $a^n = -(c_{n-1}a^{n-1}b + \cdots + c_0 b^n) = -b(c_{n-1}a^{n-1} + \cdots + c_0 b^{n-1})$. Then $b|a^n$ and thus $b|gcd(a^n, b)$. Since $gcd(a^n, b) = gcd(a, b) = 1$ by assumption, the condition $b|\gcd(a^n, b)$ means that b is a unit. Thus $\alpha = a/b \in R$. □

Thus we have the inclusions

$$ED \subset PID \subset UFD \subset GCD\ domain \subset integrally\ closed\ domain.$$

In the following several problems, we will show that if R is a UFD, then $R[x]$ is also a UFD. The proof is basically parallel to the case $\mathbb{Z}[x]$ as we did in class. Let R be a UFD. Given a polynomial $f = a_0 + a_1 x + \cdots + a_n x^n \in R[x]$, define $c(f) := gcd(a_0, a_1, \dots, a_n)$. Note that $c(f)$ is only well-defined up to associates. A polynomial $f \in R[x]$ is called **primitive** if $c(f) \sim 1$ (namely, the principal ideal $(c(f))$ is R or $c(f)$ is an associate of 1). Let F be the fractional field of R.

Problem 6. *Let R be a UFD. $f, g \in R[x]$. Show that fg is primitive iff f and g are both primitive.*

See Proposition 12.3.4 (b) for the case when $R = \mathbb{Z}$.

Problem 7. *Recall that R is a UFD and F is its fractional field.*
(1) *Show that every polynomial $f \in F[x]$ can be written as $f = cf_0$ with $c \in F$ and $f_0 \in R[x]$ is primitive. Moreover, if $cf_0 = c'f_0'$ with $c, c' \in F, f_0, f_0' \in R[x]$ primitive, show that there exists a unit $u \in R^\times$ such that $c' = cu, f_0' = u^{-1}f_0$.*
(2) *Show that $c \in R$ iff $f \in R[x]$. Moreover, $f \in R[x]$, then $c \sim c(f)$.*
(3) *Suppose $f, g \in R[x]$ are two primitive polynomials. If $f = \alpha g$ for some $\alpha \in F^\times$, show that $\alpha \in R^\times$.*
(4) *Show that $c(fg) \sim c(f)c(g)$.*

This is Lemma 12.3.5 when $R = \mathbb{Z}$.

Proof. (1) Write $f = a_0 + a_1 x + \cdots + a_n x^n \in F[x]$ with $a_i \in F$. We can find an element $d \in R$ such that $da_i \in R$. Consider $df = \sum da_i x^i \in R[x]$. Let $\alpha = gcd(da_0, da_1, \dots, da_n) \in R$. Then we can write $da_i = \alpha b_i$ with $b_i \in R$. Moreover, we have $gcd(b_0, b_1, \dots, b_n) = 1$. Thus $f_0 = b_0 + b_1 x + \cdots + b_n x^n$ is primitive and $f = (\alpha/d)f_0$. Suppose that $cf_0 = c'f_0'$ for $c, c' \in F$ and f_0, f_0' primitive. Multiply both

sides by an appropriate $d \in R$, we can assume that $dc, dc' \in R$. Since R is a UFD, we can assume that $dc = u_1 p_1^{e_1} \dots p_k^{e_k}$ for irreducible (and hence prime) elements p_i. We claim that $p_i^{e_i} | dc'$. This can be done by induction on e_i. We have $p_i | dcf_0$ and thus it divides $dc' f_0'$. Thus $\psi_{p_i}(dc' f_0') = 0$. Since f_0' is primitive, we have $\psi_{p_i}(f_0') \neq 0$. Thus we get $\psi_{p_i}(dc') = 0$. This implies that $p_i | dc'$. If $e_i = 1$, we are done. If $e_i > 1$, we then get

$$p_1^{e_1} \dots p_i^{e_i - 1} \dots p_k^{e_k} = \frac{dc'}{p_i} f_0'.$$

Do the above process one more time, we then get that $p_i | \frac{dc'}{p_i}$ or $p_i^2 | dc'$. The claimed then can be proved by induction. This means that $dc | dc'$. By symmetric, we have $dc' | dc'$. Thus there exists an element $u \in R^\times$ such that $dc' = dcu$. This implies that $c' = cu$. From $cf_0 = c' f_0'$, we get $f_0' = u^{-1} f_0$. □

Problem 8. *Let R still be a UFD and F be its fractional field.*

1. *Let $f \in R[x]$ with $\deg(f) > 0$. If f is irreducible in $R[x]$, show that f is irreducible in $F[x]$.*
2. *Show that $f \in R[x]$ is irreducible iff f is a prime element in R or a primitive polynomial that is irreducible in $F[x]$.*
3. *Show that every irreducible element in $R[x]$ is a prime element.*

This is Proposition 12.3.7 when $R = \mathbb{Z}$.

Problem 9. *Let R be a UFD. Show that $R[x]$ is a UFD.*

This is Theorem 12.3.10.

Problem 10. *Let $x, y, z \in \mathbb{Z}$ such that $x^2 + y^2 = z^2$. Show that there exists $d, a, b \in \mathbb{Z}$ such that*

$$x = d(a^2 - b^2), y = d(2ab), z = d(a^2 + b^2).$$

Hint: First assume $d = gcd(x, y, z)$ and put $X = x/d, Y = y/d, Z = z/d$. Then we get $gcd(X, Y, Z) = 1$. Use the fact $\mathbb{Z}[\sqrt{-1}]$ is a PID and hence a UFD. Then factorize in $\mathbb{Z}[\sqrt{-1}]$ to get $(x + y\sqrt{-1})(x - y\sqrt{-1}) = z^2$. Using unique factorization property to show that $x + y\sqrt{i}$ must be a square in $\mathbb{Z}[\sqrt{-1}]$.

This gives all integer solutions of $x^2 + y^2 = z^2$. A tripe (x, y, z) is called a pythagorean triple. For example, if $a = 2, b = 1, d = 1$, this gives $(x, y, z) = (3, 4, 5)$; if $a = 4, b = 1, d = 1$, this gives $(x, y, z) = (15, 8, 17)$.